|             |  | 08.   | 12.02   |          | 2151                                 | #3       |  |  |
|-------------|--|---|---|----------|--------------------------------------|----------|--|--|
| TRAI<br>AUG | $\alpha$   | F INFORMATI DISCLO Under 37 CFR 1.97(b) or 1.92 | OSURE STATEME<br>7(c))                              | NT       | Docket No.<br>14999.39.1             | 18 16 OZ |  |  |
| David       | oplication of:   |   |   | SEP 1    | 2 2002                               |          |  |  |
|             | Serial No.<br>9/854,308  | Filing Date<br>May 11, 2001                     | Examine<br>Unassigne                                |          | enter 2600<br>Group Art Unit<br>2151 |          |  |  |
| Title: I    | MAPPING AN IN  | TERNET DOCUMENT TO BE A                         | ACCESSED OVER A T                                   | ELEPHONE | SYSTEM                               |          |  |  |
|             |  |   |   | REC      | EIVED                                |          |  |  |
|             |  | Assistant Con<br>Washing                        | oddress to: nmissioner for Patents gton, D.C. 20231 | AUG      | 1 4 2002<br>by Center 2100           |          |  |  |
| 1. 🗵        | The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. |   |   |          |                                      |          |  |  |
|             |  | 37 C  | FR 1.97(c)  |          |                                      |          |  |  |
| 2.          | The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:  |   |   |          |                                      |          |  |  |
|             | ☐ the statem   | ent specified in 37 CFR 1.97(e);                |   |          |                                      |          |  |  |
|             | ☐ the fee set  | <b>OR</b><br>t forth in 37 CFR 1.17(p).         |   |          |                                      |          |  |  |
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|--|---|--|--|--|--|
|  | OSURE STATEMENT 7(c))   | Docket No.<br>14999.39.1   |  |  |  |
| PADE Application: David L. Summers, et al.   |   |  |  |  |  |
| Serial No.   | Filing Date   | Examiner   | Group Art Unit   |  |  |
| 09/854,308   | May 11, 2001  | Unassigned   | 2151   |  |  |
| MAPPING AN IN  | TERNET DOCUMENT TO BE   | ACCESSED OVER A TELEPHONE  | RECEIVED   |  |  |
|  |   |  | AUG 1 4 2002   |  |  |
|  |   |  | echnology Center 2100  |  |  |
|  | Payr  | ment of Fee  | - Indiana.   |  |  |
|  | (Only complete if Applicant ele   | lects to pay the fee set forth in 37 CFR 1.1   | 17(p))   |  |  |
| Charge the Charge the Credit any Charge a Certificate of Tour Certify that this document account is being facsing Patent and Trademark Compared (Date) | he amount of my overpayment. my additional fee required.  Transmission by Facsimile*  ment and authorization to charge deposit imile transmitted to the United States Office (F | Certificate of Mailing by  I certify that this document and for with the as first class mail under 37 addressed to the Assistant Comm Washington, D.C. 20231.  Signature of Person Maile | Technology Center 2600  Certificate of Mailing by First Class Mail  I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, |  |  |
| Typed or Printed N   | Name of Person Signing Certificate  | Typed or Printed Name of Person  | Person Mailing Certificate   |  |  |
| R. Bund  | ay only be used if paying by  Signature   | Dated: August 🖇 , 2002   |  |  |  |
|  |   | REC OCT :  | EIVED<br>1 7 2002<br>P 3600  |  |  |
| cc:  |   | GROU   | P 3600   |  |  |
| UU.  |   |  | • •  |  |  |



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**Technology Center 2600** 



PATENT APPLICATION Docket No: 14999.39.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of |   |                        |
|----------------------|---|------------------------|
|                      | David L. Summers, et al.  |                        |
| Serial No.:          | 09/854,308  | ) Art Unit<br>) 2151   |
| Filed:               | May 11, 2001  | RECEIVED               |
| For:                 | MAPPING AN INTERNET DOCUMENT TO BE ACCESSED OVER A TELEPHONE SYSTEM | AUG 1 4 2002           |
| Examiner:            | Unassigned  | Technology Center 2100 |

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents Washington, DC 20231

0CT 1 7 2002 GROUP 3600

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 3-3178 of the undersigned.

Respectfully submitted,

R. BURNS ISRAELSEN

R. Bun leur

Attorney for Applicant

Registration No. 42,685

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Facsimile: (801) 328-1707

RBI:drb W:\14999\39.1\DRB0000000154V001.doc CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): David L. Summers, et al.

Serial No.

O9/854,308

May 11, 2001

Invention: MAPPING AN INTERNET DOCUMENT TO BE ACCESSED OVER A TELEPHONE SYSTEM

I hereby certify that the Transmittal letter (2 pages) (in triplicate); information Disclosure Statement (2 pgs.); PTO Form 1449 (3 pgs.); Legible copies of 5 references; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, DC. 20231 on \_\_\_\_\_\_\_\_\_, 2002.

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AUG 1 4 2002

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**DOLLY BURNETT** 

(Typed or Printed Name of Person Mailing Correspondence)

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